

Receipe

00366.000154

### **PATENT APPLICATION**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	<b>7</b>	
PIERO ALTOMARE	;	Examiner: Not Yet Known  Group Art Unit: 2161	
Application No.: 09/996,882	: )		
Filed: November 30, 2001	:		
For: INTERFACE MODULE FOR DOCUMENT-BASED ELECTRONIC BUSINESS PROCESSES BASED ON TRANSACTIONS	; ) ; )	February 6, 2002	RECEIVED FEB 1.9 2002 Technology Center 2100
Commissioner for Patents			enter 2100

Commissioner for Patents Washington, D.C. 20231

### REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A Claim to Priority and Submission of Priority Documents, filed concurrently herewith, claims priority based upon two European Priority Applications. Applicant's attorneys have received an official Filing Receipt in the above-identified application in which only one Foreign Application was acknowledged. Accordingly, the priority information is incorrect.

The proper reading is as follows:

European Patent Office (EPO) 01 123 991.0 10/08/01 European Patent Office (EPO) 01 119 457.8 8/13/01

Issuance of a corrected Filing Receipt, corrected as shown above, is accordingly respectfully requested.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicant

Registration No.

No. 46551

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

BEST AVAILABLE COPY



### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

**GRP ART UNIT** 

FIL FEE REC'D AT

ATTY.DOCKET.NO

DRAWINGS

TOT CLAIMS

-IND CLAIMS

09/996,882

11/30/2001

2161

366.154

10

15

4

05514 FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA NEW YORK, NY 10112



CONFIRMATION NO. 2759

**FILING RECEIPT** 

.

Date Mailed: 12/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Piero Altomare, Rothenburg, SWITZERLAND;

RECEIVED

FEB 1, 9 2002

Domestic Priority data as claimed by applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 01 123 991.0 10/08/2001

**Technology Center 2100** 

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

**Early Publication Request: No** 

\*\* SMALL ENTITY \*\*

Title

Interface module for document-based electronic business processes based on transactions

**Preliminary Class** 

705

# Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST AVAILABLE COPY



United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK

Bib Data Sheet

**CONFIRMATION NO. 2759 FILING DATE ATTORNEY** SERIAL NUMBER CLASS -**GROUP ART UNIT** 11/30/2001 09/996.882 DOCKET NO. 705 2161 RULE 366.154 APPLICANTS Piero Altomare, Rothenburg, SWITZERLAND; '\* CONTINUING DATA \*\*\*\*\*\*\*\*\* EUROPEAN PATENT OFFICE (EPO) 01 123 991.0 10/08/2001 EUROPEAN PATENT OFFICE (EPO) 01 119 457.8 08/13/2001 IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\* Foreign Priority claimed yes no STATE OR **SHEETS** 35 USC 119 (a-d) conditions TOTAL INDEPENDENT yes no Met after COUNTRY met **DRAWING CLAIMS CLAIMS** Allowance Verified and SWITZERLAND 10 15 Acknowledged Examiner's Signature Initials **ADDRESS** 05514 TITLE Interface module for document-based electronic business processes based on transactions 🗖 All Fees □ 1.16 Fees ( Filing ) **FILING FEE** FEES: Authority has been given in Paper ☐ 1.17 Fees ( Processing Ext. of **RECEIVED** No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT 617 for following: ☐ 1.18 Fees (Issue) Other Credit



### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/996,882

11/30/2001

Piero Altomare

366.154

05514 FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA NEW YORK, NY 10112



CONFIRMATION NO. 2759
FORMALITIES LETTER

00000000007188866

Date Mailed: 12/13/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

### A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Void date: 02/11/2002 BNGUYEN1 PART 2 - COPY TO BE RETURNED WITH RESPONSE 02/11/2002 BNGUYEN1 00000020 09996882 -130.00 SP

02/11/2002 BNGUYEN1 00000020 09996882
01 FC:105 130.00 OP

01 FC:205 65.00 GP

02/11/2002 BNGUYEN1 00000021 09996882

Kepin, Ket: 02/11/2002 BNGUYENI 00161: DA#:061205 Name/Number:09996882 FC: 704



Sector

PATENT APPLICATION

00366.000154

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:		Eveninan Nat V. 17
PIERO ALTOMARE	)	Examiner: Not Yet Known
Application No.: 09/996,882	;	Group Art Unit: 2161
Filed: November 30, 2001	; )	
For: INTERFACE MODULE FOR DOCUMENT-BASED ELECTRONIC BUSINESS PROCESSES BASED ON TRANSACTIONS	) : ) : )	February 6, 2002

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

ATTENTION: APPLICATION BRANCH, SPECIAL HANDLING UNIT

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF

APPLICATION - FILING DATE GRANTED mailed December 13, 2001, enclosed please
find an executed Declaration/Power of Attorney form, together with the surcharge fee of
\$130.00. Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 06-1205.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Registration No.

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

NY\_MAIN 236627 v 1